### PATENT COOPERATION TREATY

From the INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

MOMSEN, LEONARDOS & CIA Rua Teofilo Otoni 63 10th Floor 20090-080 RIO DE JANEIRO, RJ BRESIL

### PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(PCT Rule 71.1)

IMPORTANT NOTIFICATION

Date of mailing (day/month/year)

06.03.2006

Applicant's or agent's file reference

PE0696

Priority date (day/month/year)

International application No. PCT/BR2004/000003

International filing date (day/month/year) 23.01.2004

25.09.2003

Applicant

COMPANHIA BRASILEIRA DE METALURGIA... et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary report on patentability and its annexes, if any, established on the international application.
- A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed inventions is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

Name and mailing address of the international preliminary examining authority:



European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465 Authorized Officer

Galatioto, M

Tel. +49 89 2399-7849



## PATENT COOPERATION TREATY

## **PCT**

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference PE0696	FOR FURTHER ACT	ION §	See Form PCT/IPEA/416		
International application No. International filing da PCT/BR2004/000003 23.01.2004		y/month/year)	Priority date (day/month/year) 25.09.2003		
International Patent Classification (IPC) or national classification and IPC C01G33/00					
Applicant COMPANHIA BRASILEIRA DE METALURGIA et al.					
1. This report is the international preliminary examination report, established by this international Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.					
2. This REPORT consists of a total of 4 sheets, including this cover sheet.					
3. This report is also accompanied by ANNEXES, comprising:					
a. Sent to the applicant and to the International Bureau) a total of 2 sheets, as follows:					
sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).					
sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.					
b. (sent to the International Bureau only) a total of (Indicate type and number of electronic carrier(s)), containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).					
4. This report contains indications re	elating to the following iter	ns:			
☑ Box No. 1 Basis of the op	inion				
☐ Box No. II Priority					
Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability			step and industrial applicability		
☐ Box No. IV Lack of unity of	☐ Box No. IV Lack of unity of invention				
Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement					
Box No. VI Certain documents cited					
☐ Box No. VII Certain defects in the international application					
Box No. VIII Certain observations on the international application					
Date of submission of the demand		Date of completion of this	s report		
13.07.2005		06.03.2006			
Name and malling address of the international preliminary examining authority:		Authorized Officer	Palarette, P.		
European Patent Office D-80298 Munich		Arnotte, E			
Tel. +49 89 2399 - 0 T'x: 523656 epmu d Fax: +49 89 2399 - 4465		Telephone No. +49 89 2	399-8573		
1					

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/BR2004/000003

_	Box No. I	Basis of the report				
1.	With regard to the language, this report is based on the international application in the language in which it wa filed, unless otherwise indicated under this item.					
	which i	eport is based on translations from the original language into the following language, is the language of a translation furnished for the purposes of:				
	<ul> <li>□ international search (under Rules 12.3 and 23.1(b))</li> <li>□ publication of the international application (under Rule 12.4)</li> <li>□ international preliminary examination (under Rules 55.2 and/or 55.3)</li> </ul>					
2.	have been	Nith regard to the elements* of the international application, this report is based on (replacement sheets which nave been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this eport as "originally filed" and are not annexed to this report):				
	Description, Pages					
	1-16	as amended (together with any statement) under Art. 19 PCT				
	Claims, Nu	mbers				
	1-19 as amended (together with any statement) under Art. 19 PCT					
	Drawings, Sheets					
	1, 2	as amended (together with any statement) under Art. 19 PCT				
	a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing					
3.	☐ The a	mendments have resulted in the cancellation of:				
		e description, pages e claims, Nos.				
		e drawings, sheets/figs e sequence listing <i>(specify)</i> :				
	□ an	y table(s) related to sequence listing (specify):				
4	had not be	report has been established as if (some of) the amendments annexed to this report and listed below een made, since they have been considered to go beyond the disclosure as filed, as indicated in the ental Box (Rule 70.2(c)).				
	☐ the	e description, pages e claims, Nos.				
	□ the	e drawings, sheets/figs e sequence listing <i>(specify):</i> ny table(s) related to sequence listing <i>(specify)</i> :				
		tem 4 applies, some or all of these sheets may be marked "superseded."				

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/BR2004/000003

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

1-19

No: Claims

Inventive step (IS)

Industrial applicability (IA)

Yes: Claims

1-19

No: Claims

Yes: Claims

No: Claims

1-19

2. Citations and explanations (Rule 70.7):

see separate sheet

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

International application No.

PCT/BR2004/000003

claim 1 relates to a process for the production of Niobium monoxide powder with leakage current in the range of from 0.2 to 0.5 nA/CV".

Since prior art (D1 and D2) disclose processes leading to powders having leakage currents equals or greater than 0.97 nA/CV, and since the same do not teach nor suggest how to reduce the said leakage curent, the process of claim 1 is regarded as being novel and inventive over the said prior art.

Consequently, dependent process claims 2-17, as well as product claims 18 and 19 are regarded as being inventive.